

# **LEAP-Asia-2018 Numerical Simulation Exercise**

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## **1. Brief Summary of Experiments**



Figure 1. Schematic representation of the centrifuge test layout.



Figure 2. Input motions for LEAP-ASIA-2018 simulations.

	Density (kg/m <sup>3</sup> )	Dr (%)
RPI-A-B1-1	1644	62
KyU-A-B2-1	1633	58
KyU-A-A2-1	1628	56
RPI-A-A1-1	1651	64
UCD-A-A2-1	1658	67
*KyU-A-A1-1	1677	73
*UCD-A-A1-1	1713	86
*KyU-A-B1-1	1673	72
* 0 . 1		

Table 1: Summary of centrifuge experiments selected for LEAP-ASIA-2018.

\* Optional

## 2. Finite Element Model

A two-dimensional FE mesh with element size 0.5 m (Fig. 3) is created to represent the centrifuge model with rigid walls. All numerical simulations for the selected 9 centrifuge experiments (Table 1) are conducted using the computational platform OpenSees. The Open System for Earthquake Engineering Simulation (OpenSees, McKenna *et al.* 2010, <u>http://opensees.berkeley.edu</u>) developed by the Pacific Earthquake Engineering Research (PEER) Center, is an open source, object-oriented finite element platform. Currently, OpenSees is widely used for simulation of structural and geotechnical systems (Yang 2000; Yang and Elgamal 2002) under static and seismic loading.

Four-node plane-strain elements with two-phase material following the u-p (Chan 1988) formulation were employed for simulating saturated soil response, where u is the displacement of the soil skeleton and p is the pore water pressure. Implementation of the u-p element is based on the following assumptions: 1) small deformation and rotation; 2) solid and fluid density remain constant in time and space; 3) porosity is locally homogeneous and constant with time; 4) soil grains are incompressible; 5) solid and fluid phases are accelerated equally. Hence, the soil layers represented by effective stress fully coupled u-p elements are capable of accounting for soil deformations and the associated changes in pore water pressure.



Figure 3. Finite Element mesh (element size = 0.5 m)

### 3 Soil constitutive model

The employed soil constitutive model (Yang 2000; Elgamal et al. 2003; Parra 1996; Yang and Elgamal 2002) were developed based on the multi-surface-plasticity theory (Morz 1967; Iwan 1967; Prevost 1978; Prevost 1985). In this employed soil constitutive model, the shear-strain backbone curve was represented by the hyperbolic relationship with the shear strength based on simple shear (reached at an octahedral shear strain of 10%). The small strain shear modulus under effective pressure  $p'_r$  is computed reference confining using the equation a  $G = G_0(p'/p'_r)^n$ , where p' is effective confining pressure. The dependency of shear modulus on confining pressure is taken as (n = 0.5). The critical state frictional constant  $M_f$  (failure surface) is related to the friction angle  $\phi$  (Chen and Mizuno 1990) and defined as  $M_f = 6\sin\phi/(3-\sin\phi)$ . As such, the soil is simulated by the implemented OpenSees material PressureDependMultiYield02. Brief descriptions of this soil constitutive model are included below.

### **3.1. Yield function**

The yield function is defined as a conical surface in principal stress space (Prevost 1985, Lacy 1986; Yang and Elgamal 2002):

$$f = \frac{3}{2} (\mathbf{s} - (p' + p'_{0})\mathbf{a}) : (\mathbf{s} - (p' + p'_{0})\mathbf{a}) - M^{2} (p' + p'_{0})^{2} = 0$$
(1)

where,  $\mathbf{s} = \mathbf{\sigma}' - p'\mathbf{\delta}$  is the deviatoric stress tensor,  $\mathbf{\sigma}'$  is the effective Cauchy stress tensor,  $\mathbf{\delta}$  is the second-order identity tensor, p' is mean effective stress,  $p'_0$  is a small positive constant (0.3 kPa in this paper) such that the yield surface size remains finite at p' = 0 for numerical convenience and to avoid ambiguity in defining the yield surface normal to the yield surface apex.  $\mathbf{a}$  is a second-order deviatoric tensor defining the yield surface center in deviatoric stress subspace, M defines the yield surface size, and ":" denotes doubly contracted tensor product.

#### **3.2.** Contractive phase

Shear-induced contraction occurs inside the phase transformation (PT) surface ( $\eta < \eta_{PT}$ ), as well as outside ( $\eta > \eta_{PT}$ ) when  $\dot{\eta} < 0$ , where,  $\eta$  is the stress ratio and  $\eta_{PT}$  is the stress ratio at phase transformation surface. The contraction flow rule is defined as (Yang *et al.* 2003):

$$P'' = (1 - \frac{\dot{\mathbf{n}}: \dot{\mathbf{s}}}{||\dot{\mathbf{s}}||} \frac{\eta}{\eta_{PT}})^2 (c_1 + c_2 \gamma_d) (\frac{p'}{p_a})^{c_3} (c_4 \times CSR)^{c_5}$$
(2)

where  $c_1, -c_5$  are non-negative calibration constants,  $\gamma_d$  is octahedral shear strain accumulated during previous dilation phases,  $p_a$  is atmospheric pressure for normalization purpose, *CSR* is cyclic stress ratio, and  $\dot{s}$  is the deviatoric stress rate. The  $\dot{n}$  and  $\dot{s}$  tensors are used to account for general 3D loading scenarios, where,  $\dot{n}$  is the outer normal to a surface. The parameter  $c_3$  is used to represent the dependence of pore pressure buildup on initial confinement (i.e.,  $K_{\sigma}$  effect).

#### **3.3. Dilative phase**

Dilation appears only due to shear loading outside the PT surface ( $\eta > \eta_{PT}$  with  $\dot{\eta} > 0$ ), and is defined as (Yang *et al.* 2003):

$$P'' = (1 - \frac{\dot{\mathbf{n}} \cdot \dot{\mathbf{s}}}{||\dot{\mathbf{s}}||} \frac{\eta}{\eta_{PT}})^2 d_1(\gamma_d)^{d_2} (\frac{p'}{p_a})^{-d_3}$$
(3)

where  $d_1$ ,  $d_2$  and  $d_3$  are non-negative calibration constants, and  $\gamma_d$  is the octahedral shear strain accumulated during all dilation phases in the same direction as long as there is no significant who wrote this load reversal. It should be mentioned that  $\gamma_d$  accumulates even if there are small unloadreload phases, resulting in increasingly stronger dilation tendency and reduced rate of shear strain accumulation.

### 3.4. Neutral phase

When the stress state approaches the PT surface ( $\eta = \eta_{PT}$ ) from below, a significant amount of permanent shear strain may accumulate prior to dilation, with minimal changes in shear stress and p' (implying p'' = 0). For simplicity, P'' = 0 is maintained during this highly yielded phase until a boundary defined in deviatoric strain space is reached, and then dilation begins. This yield domain will enlarge or translate depending on load history (Yang *et al.* 2003).

It should be noted that PressureDependMultiYield02 has been improved with new flow rules in order to better capture contraction and dilation in sands and the model parameters were calibrated with established guidelines on the liquefaction triggering logic, i.e., cyclic stress ratio versus number of equivalent uniform loading cycles in undrained (direct simple shear) DSS loading to cause single-amplitude shear strain of 3% (Khosravifar *et al.* 2017).

### **4** Boundary and loading conditions

The boundary and loading conditions for the dynamic analysis of the sloping ground under an input motion are implemented in a staged fashion as follows:

1) Gravity was applied to activate the initial static state (Fig. 4) for the sloping ground with: i) linear elastic properties (Poisson's ratio of 0.47), ii) nodes on both side boundaries (vertical faces) of the FE model were fixed against longitudinal translation, iii) nodes were fixed along the base against vertical translation, iv) water table was specified (Fig. 2) with related water pressure and nodal forces specified along ground surface nodes. At the end of this step, the static soil state was imposed and displacements under own-weight application were re-set to zero using the OpenSees command InitialStateAnalysis.

2) Soil properties were switched from elastic to plastic.

3) Nodes were fixed along the base against longitudinal translation.

4) Dynamic analysis is conducted by applying an acceleration time history to the base of the FE model.

The FE matrix equation is integrated in time using a single-step predictor multi-corrector scheme of the Newmark type (Chan 1988; Parra 1996) with integration parameters  $\gamma = 0.6$  and  $\beta = 0.3025$ . The equation is solved using the modified Newton-Raphson method, i.e., Krylov subspace acceleration (Carlson and Miller 1998) for each time step. A relatively low level of stiffness proportional damping (coefficient = 0.003) with the main damping emanating from the soil nonlinear shear stress-strain hysteresis response was used to enhance numerical stability of the liquefiable sloping system. The tolerance criteria used to check the convergence is based on the increment of energy with a tolerance of  $10^{-6}$ .

![](_page_10_Figure_0.jpeg)

(a)

## Sigma\_v (kPa)

![](_page_10_Figure_3.jpeg)

(b)

![](_page_10_Figure_5.jpeg)

Figure 4. Initial state of soil due to gravity: (a) Pore water pressure; (b) Vertical stress; (c) Horizontal stress.

## 5. Determination of Soil Model Parameters for Dr = 60%

![](_page_11_Figure_1.jpeg)

Figure 5. Simulated liquefaction strength curves with measured data for Dr. = 60 %

Model Parameters	Value
Reference mean effective pressure, $p'_r$ (kPa)	101.0
Mass density $\rho$ (t/m <sup>3</sup> )	2.04
Maximum shear strain at reference pressure,	0.1
$\gamma_{max,r}$	25.0
Shear modulus at reference pressure, $G_r$ (MPa)	25.0
Stiffness dependence coefficient d, $G = G_r (\frac{P'}{P'_r})^d$	0.5
Poisson's ratio v (for dynamics)	0.4
Shear strength at zero confinement, $c$ (kPa)	0.3
Friction angle $\phi$	44°
Phase transformation angle	36°
Contraction coefficient, $c_1$	0.028
Contraction coefficient, $c_2$	5.0
Contraction coefficient, $c_3$	0.15
Contraction coefficient, $c_4$	5.5
Contraction coefficient, $c_5$	4.6
Dilation coefficient, $d_1$	0.4
Dilation coefficient, $d_2$	3.0
Dilation coefficient, $d_3$	0.15
Damage parameter, <i>Liq1</i>	0.4
Damage parameter, <i>Liq2</i>	3.0
Permeability (m/s)	1.0×10 <sup>-5</sup>
Number of yield surfaces	20

Table 2: Sand model parameters for Dr = 60%.

![](_page_13_Figure_0.jpeg)

Figure 6. Computed and laboratory results of an isotropically consolidated, undrained monotonic triaxial loading test (Dr = 60%).

![](_page_14_Figure_0.jpeg)

## 6. Computed Results of Type-B Simulations

Figure 7. Measured and computed time histories of RPI-A-B1-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

![](_page_15_Figure_0.jpeg)

(b)

Figure 8. Computed displacement contours of RPI-A-B1-1: (a) Horizontal (arrow shows the total displacement); (b) Vertical.

![](_page_16_Figure_0.jpeg)

Figure 9. Computed soil responses of RPI-A-B1-1: (a) Mean effective stress-shear stress; (b) Shear stress-strain.

![](_page_17_Figure_0.jpeg)

Figure 10. Measured and computed time histories of KyU-A-B2-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

![](_page_18_Figure_0.jpeg)

Figure 11. Measured and computed time histories of KyU-A-A2-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

![](_page_19_Figure_0.jpeg)

Figure 12. Measured and computed time histories of RPI-A-A1-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

![](_page_20_Figure_0.jpeg)

Figure 13. Measured and computed time histories of UCD-A-A2-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

![](_page_21_Figure_0.jpeg)

Figure 14. Measured and computed time histories of KyU-A-A1-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

![](_page_22_Figure_0.jpeg)

Figure 15. Measured and computed time histories of UCD-A-A1-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

![](_page_23_Figure_0.jpeg)

Figure 16. Measured and computed time histories of KyU-A-B1-1: (a) Acceleration; (b) Excess pore water pressure; (c) Displacement at middle of ground surface.

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